

SYMANTEC VISION. + MANAGE FUSION.



Strategic Security: IT Governance, Risk, Compliance & Audit Readiness.

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Agenda

1. IT Compliance: The situation, challenges & implications
2. Automation: The approach, the solution & the ROI
3. Case Study: Department of Lands
4. Summary

IT Compliance: the situation

- **Regulatory Compliance**

- ACSI 33: For Federal government agencies to implement better security policies, controls and procedures to improve the protection of assets and information
- Privacy Act, PCI & HIPPA: Safeguarding sensitive customer data & information
- SOX: Ensuring financial reporting accuracy and thus shareholder value
- Basel: Establish a risk profile to determine the value of capital needing to be kept in reserve

- **Best Practice Frameworks**

- Eg. ISO 27001 (17799), COBIT, ITIL
- A 'tried and tested' methodology for repeatable and measurable results

- **Internal / Group Controls**

- Typically an interpretation of regulatory and best practice controls relevant to your company

IT Compliance: challenges & implications

Audit Requirements



- Increased frequency of audits
- More policies, standards
- Better visibility and data confidence

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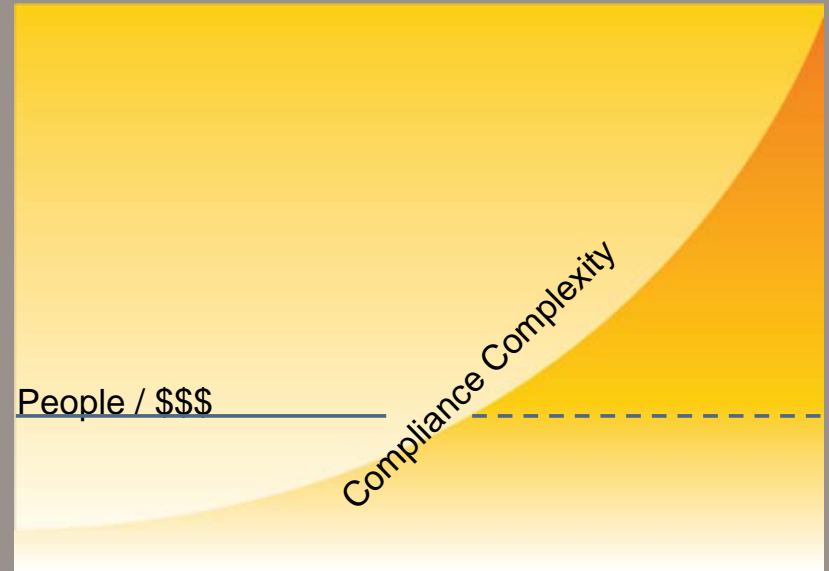
Budget Constraints



- Manual processes don't scale
- Home Grown tools eg.. Excel spreadsheets
- Point tools = integration cost, fragility
- Budgets flat or declining

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Result



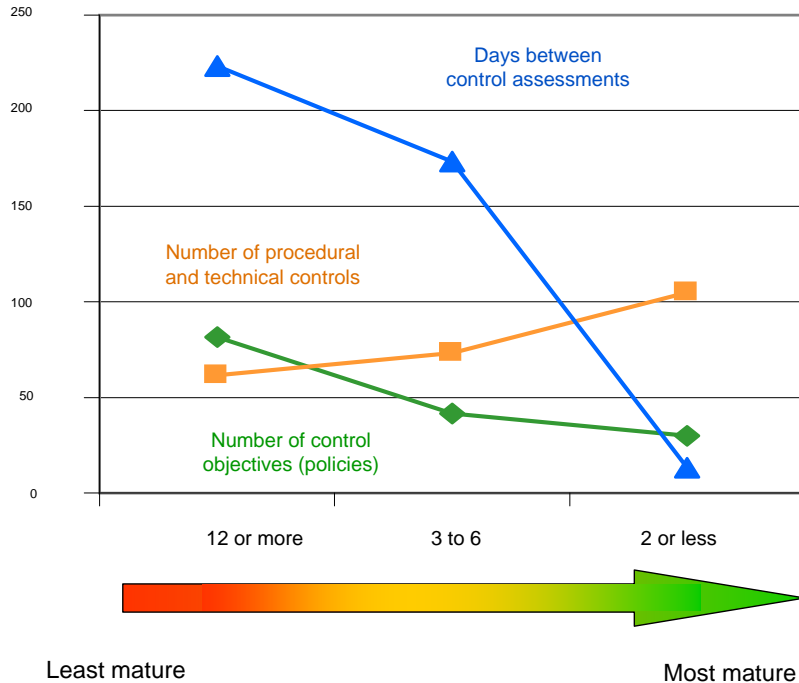
- Budget can't meet demand
- Inefficient use of scarce resources

IT Compliance: challenges & implications

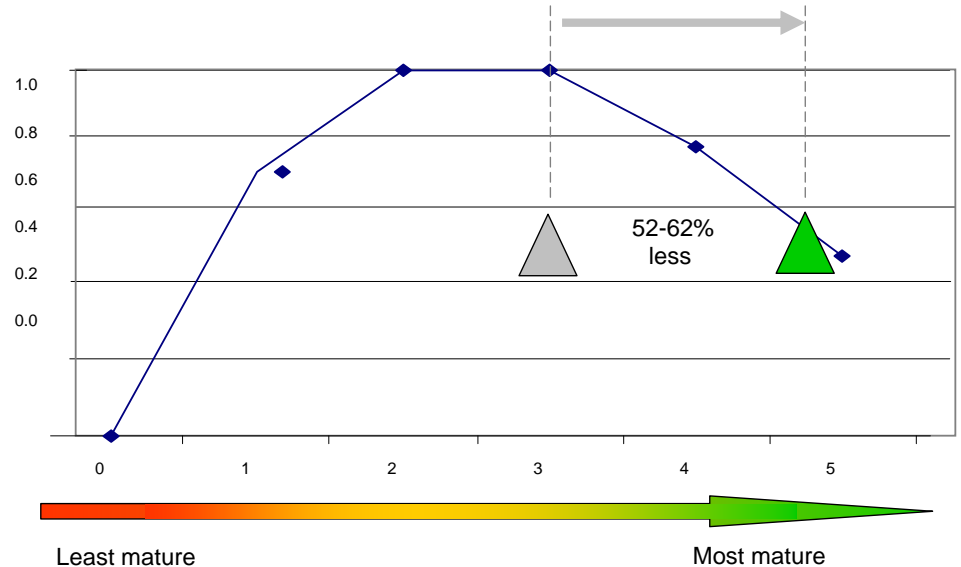
Assessing more controls, more often,
reduces risk ...

... but, with AUTOMATION, this reduces
compliance spend as well!

Annual Data Losses and Deficiencies



Relative Spend on Regulatory Compliance



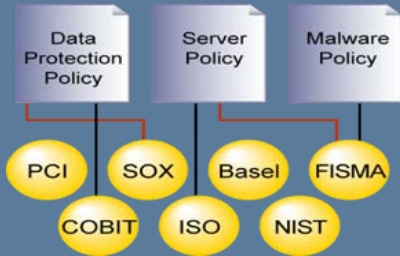
Source: IT Policy Compliance.com – “Compliance Pays”

Automation: The approach

Define

Determine Risk and Develop Policies

POLICIES and CONTROLS



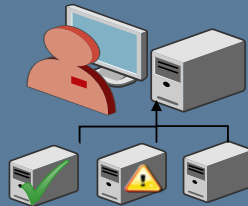
RISK ASSESSMENTS



Assess

Assess Infrastructure and Processes

TECHNICAL CONTROLS



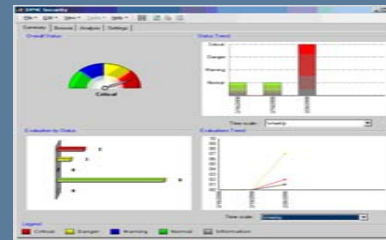
PROCEDURAL CONTROLS



Report

Monitor and Demonstrate Due Care

DASHBOARDS



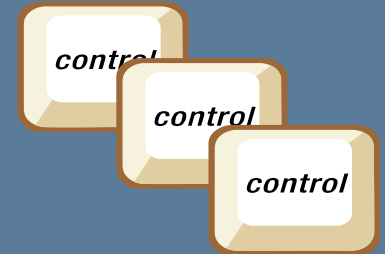
AUDIT REPORTS



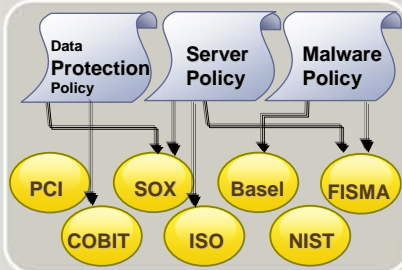
Remediate

Assess Risk and Remediate Problems

RISK WEIGHTED REMEDIATION

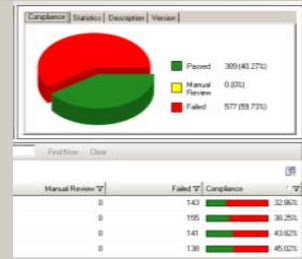


Automation: The solution – Control Compliance Suite 9.0



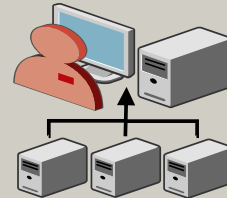
Policy Manager

- Define/manage written policies
- Distribute policies & track exceptions
- Demonstrate coverage
- Display evidence



Standards & Entitlements Manager

- Create/Select standard
- Assess technical controls
- Detect deviations
- Remediate deficiencies



Response Assessment Manager

- Assess procedural controls
- Report with risk weighted model
- Centralize view of procedural controls



Security Information Manager

- Monitor security control violations
- Prioritize and respond to incidents
- Consolidate and manage security logs

Automation: The Return on Investment

- Reduction in audit preparation & remediation
- IT compliance labour savings
- Reduction in security management savings
- Potential reduction in corporate fidelity & crime insurance
- Free up capital stores required to balance operational risk

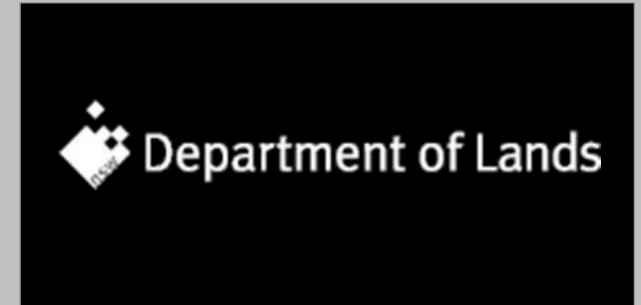
“The automation of so many traditionally manual tasks makes it possible for people to get other pressing work accomplished.”

Steven Woodhouse
Manager, Technical Services
The Department of Lands, New South Wales

Case Study: Department of Lands

Objective & Requirements

Achieve ISO 17799 Certification
Ongoing Audit Readiness, monitoring and reporting
Heterogeneous platform and database support



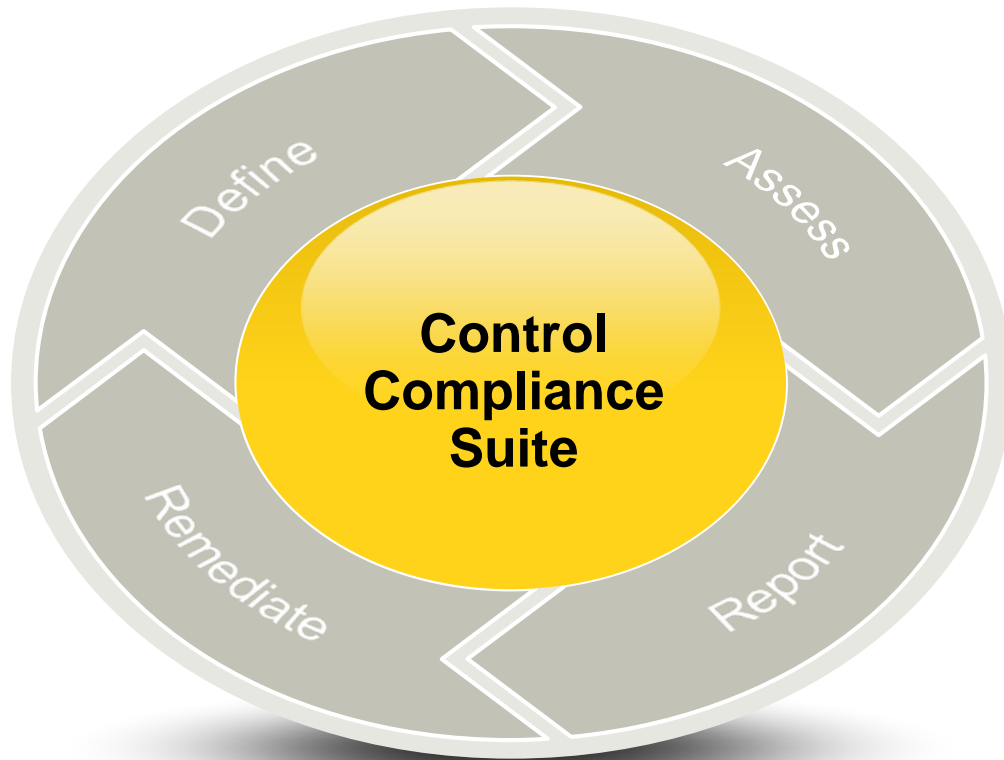
Solution

Bindview BV Control & Compliance Centre – now known as
Control Compliance Suite – Standards Manager

Results

Significant Time savings : The equivalent of 1 full time employee in the first 6 months
ISO 17799 Certification & Immediate proof of compliance

Why Symantec?



**Market Leader with largest
Install base**

**Most complete end-to-end
automation**

**Flexible, Scalable
Deployment**

Environment Support

Summary... Control Compliance Suite 9.0

Reduce Cost

- End to end automation
- Pre-packaged policy and controls library
- Centralized & optimized log information

Manage Risk

- Policy based controls
- Risk based scoring and prioritization
- Real-time security monitoring

Align to Business

- Executive reporting
- Policy acceptance & exception management
- Business impact analysis

The industry's only end-to-end compliance process automation solution

QUESTIONS & ANSWERS

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